

Lw/o

SEALED

CLERK US DISTRICT COURT
NORTHERN DIST OF TX
FILED

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF TEXAS
DALLAS DIVISION

2017 FEB 15 PM 3:37

DEPUTY CLERK NT

SECURITIES AND EXCHANGE)	
COMMISSION,)	
)	
PLAINTIFF,)	
)	
v.)	Civil Action No.: 3:17-CV-00420-L
)	
PATRICK O. HOWARD;)	FILED UNDER SEAL
HOWARD CAPITAL HOLDINGS, LLC;)	
AND OPTIMAL ECONOMICS CAPITAL)	
PARTNERS, LLC,)	
)	
DEFENDANTS.)	

PLAINTIFF'S NOTICE OF STATUS

Plaintiff Securities and Exchange Commission ("Commission") files this Notice of Status in accordance with the Court's Order Granting *Ex Parte* Motion to File Under Seal and Temporarily Sealing Docket and Proceedings ("Seal Order"), to notify the Clerk and the Court that the Defendants have been served and received notice of this proceeding, and to request that the Clerk of the Court unseal the docket and proceedings.

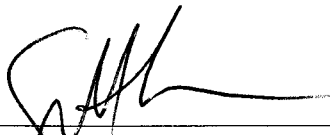
STATUS

1. On February 14, 2017, the Court issued the Seal Order, and subsequently issued an Order Granting Emergency *Ex Parte* Application for Temporary Restraining Order, Asset Freeze, Appointment of a Receiver, Preliminary Injunction and other Emergency and Ancillary Relief ("TRO Order") and an Order Appointing Receiver.
2. On February 15, 2017, Defendants were served with the Complaint, the TRO Order, and other pleadings, and therefore have notice of this action.

3. Accordingly, because one or more Defendants in the action have sufficient notice of this action, further sealing is unnecessary and, pursuant to the Seal Order, the Clerk should unseal this matter.

DATED: February 15, 2017

Respectfully submitted,



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ATTORNEY FOR PLAINTIFF

CERTIFICATE OF SERVICE

I hereby certify that on February 15, 2017, I electronically filed the foregoing document with the Clerk of the Court using the CM/ECF system, which will send notification of the filing to all counsel who have registered with the Court. All others were served a copy by U.S. mail as detailed below:

Barrett R. Howell Bracewell LLP 1445 Ross Avenue Suite 3800 Dallas, TX 75202-2724 <i>Counsel for Defendants</i>	Philip J. Bezanon Bracewell LLP 701 5 th Avenue Suite 6200 Seattle, WA 98104-7018 <i>Counsel for Defendants</i>
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