

## STANDARDIZED FUND ACCOUNTING REPORT for Howard Capital Holdings LLC

and Optimal Economics Capital Partners LLC - Cash Basis

Receivership Civil Court Docket No. 3:17-cv-00420-L

Reporting Period 02/14/2017 to 03/16/2017

## FUND ACCOUNTING:

		Detail	Subtotal	Grand Total
Line 1	Beginning Balance (As of 02/14/2017):	-	-	-
	Increases in Fund Balance:			
Line 2	Business Income			
Line 3	Cash and Securities	552,626.67		
Line 4	Interest/Dividend Income	5.81		
Line 5	Business Asset Liquidation			
Line 6	Personal Asset Liquidation			
Line 7	Third-Party Litigation Income			
Line 8	Miscellaneous - Other			
	Total Funds Available (Lines 1-8):	552,632.48	552,632.48	
	Decreases in Fund Balance:			
Line 9	Disbursements to Investors			
Line 10	Disbursements for Receivership Operations			
Line 10a	Disbursements to Receiver or Other Professionals			
Line 10b	Business Asset Expenses	49,119.02		
Line 10c	Personal Asset Expenses			
Line 10d	Investment Expenses			
Line 10e	Third-Party Litigation Expenses			
	1. Attorney Fees			
	2. Litigation Expenses			
	Total Third-Party Litigation Expenses			
		49,119.02		
Line 10f	Tax Administrator Fees and Bonds			
Line 10g	Federal and State Tax Payments	10,659.79		
	Total Disbursements for Receivership Operations	59,778.81	59,778.81	492,853.67
Line 11	Disbursements for Distribution Expenses Paid by the Fund:			
Line 11a	Distribution Plan Development Expenses:			
	1. Fees			
	Fund Administrator . . . . .			
	Independent Distribution Consultant (IDC)			
	Distribution Agent . . . . .			
	Consultants . . . . .			
	Legal Advisers . . . . .			
	Tax Advisers . . . . .			
	2. Administrative Expenses			
	3. Miscellaneous			
	Total Plan Development Expenses			

## STANDARDIZED FUND ACCOUNTING REPORT for Howard Capital Holdings LLC

and Optimal Economics Capital Partners LLC - Cash Basis

Receivership Civil Court Docket No. 3:17-cv-00420-L

Reporting Period 02/14/2017 to 03/16/2017

Line 11b	Distribution Plan Implementation Expenses:			
	1. Fees			
	Fund Administrator . . . . .			
	IDC . . . . .			
	Distribution Agent . . . . .			
	Consultants . . . . .			
	Legal Advisers . . . . .			
	Tax Advisers . . . . .			
	2. Administrative Expenses			
	3. Investor Identification:			
	Notice/Publishing Approved Plan . . . . .			
	Claimant Identification . . . . .			
	Claims Processing . . . . .			
	Web Site Maintenance/Call Center . . . . .			
	4. Fund Administrator Bond			
	5. Miscellaneous			
	6. Federal Account for Investor Restitution (FAIR) Reporting Expenses			
	Total Plan Implementation Expenses			
	Total Disbursements for Distribution Expenses Paid by the Fund			
Line 12	Disbursements to Court/Other:			
Line 12a	Investment Expenses/Court Registry Investment System (CRIS) Fees			
Line 12b	Federal Tax Payments			
	Total Disbursements to Court/Other:			
	Total Funds Disbursed (Lines 9 - 11):			
Line 13	Ending Balance (As of 03/16/2017)			492,853.67

Case 3:17-cv-00420-L Document 40-1 Filed 03/16/17 Page 3 of 4 PageID 1320  
STANDARDIZED FUND ACCOUNTING REPORT for Howard Capital Holdings LLC  
and Optimal Economics Capital Partners LLC - Cash Basis  
Receivership Civil Court Docket No. 3:17-cv-00420-L  
Reporting Period 02/14/2017 to 03/16/2017

Line 14	Ending Balance of Fund - Net Assets:			
Line 14a	Cash & Cash Equivalents			492,853.67
Line 14b	Investments			
Line 14c	Other Assets or Uncleared Funds			4,833.34
	Total Ending Balance of Fund - Net Assets			497,687.01

OTHER SUPPLEMENTAL INFORMATION:

		Detail	Subtotal	Grand Total
	Report of Items NOT to be Paid by the Fund:			
Line 15	Disbursements for Plan Administration Expenses Not Paid by the Fund:			
Line 15a	Plan Development Expenses Not Paid by the Fund:			
	1. Fees			
	Fund Administrator . . . . .			
	IDC . . . . .			
	Distribution Agent . . . . .			
	Consultants . . . . .			
	Legal Advisers . . . . .			
	Tax Advisers . . . . .			
	2. Administrative Expenses			
	3. Miscellaneous			
	Total Plan Development Expenses Not Paid by the Fund			
Line 15b	Plan Implementation Expenses Not Paid by the Fund:			
	1. Fees			
	Fund Administrator . . . . .			
	IDC . . . . .			
	Distribution Agent . . . . .			
	Consultants . . . . .			
	Legal Advisers . . . . .			
	Tax Advisers . . . . .			
	2. Administrative Expenses			
	3. Investor Identification:			
	Notice/Publishing Approved Plan . . . . .			
	Claimant Identification . . . . .			
	Claims Processing . . . . .			
	Web Site Maintenance/Call Center . . . . .			
	4. Fund Administrator Bond			
	5. Miscellaneous			
	6. FAIR Reporting Expenses			
	Total Plan Implementation Expenses Not Paid by the Fund			
Line 15c	Tax Administrator Fees & Bonds Not Paid by the Fund			
	Total Disbursements for Plan Administration Expenses Not Paid by the Fund			

## STANDARDIZED FUND ACCOUNTING REPORT for Howard Capital Holdings LLC

and Optimal Economics Capital Partners LLC - Cash Basis

Receivership Civil Court Docket No. 3:17-cv-00420-L

Reporting Period 02/14/2017 to 03/16/2017

Line 16	Disbursements to Court/Other Not Paid by the Fund:			
Line 16a	Investment Expenses/CRIS Fees			
Line 16b	Federal Tax Payments			
	Total Disbursements for Court/Other Not Paid by the Fund:			
Line 17	DC & State Tax Payments			
Line 18	No. of Claims:			
Line 18a	# of Claims Received This Reporting Period . . . . .			
Line 18b	# of Claims Received Since Inception of Fund . . . . .			
Line 19	No. of Claimants/Investors:			
Line 19a	# of Claimants/Investors Paid This Reporting Period . . . . .			
Line 19b	# of Claimants/Investors Paid Since Inception of Fund . . . . .			